

BARNSELY METROPOLITAN BOROUGH COUNCIL

AUDIT COMMITTEE

WEDNESDAY 27th MARCH, 2013

4.00 PM

RECEPTION ROOM, TOWN HALL, BARNSELY

AGENDA

Procedural/Administrative items

1. **Declarations of Pecuniary and Non-Pecuniary Interest**

2. **Minutes**

To receive the minutes of the meeting held on 12th December, 2012 (copy 'A' attached).

3. **Actions Arising From the Previous Meetings**

The Assistant Executive Director (Finance) (Audit and Risk Management) will submit a report detailing action taken and arising from previous meetings of this Committee (copy 'B' attached).

Items for Discussion/Decision

4. **Regulation of Investigatory Powers Act (RIPA) 2000**

The Assistant Chief Executive (Legal and Governance) will submit a report updating Members of the current position with regard to the Regulation of Investigatory Powers Act surveillance activity and highlighting some recent changes to the RIPA regime which make additional requirements for the authorisation of covert surveillance and the purposes for which it may properly be deployed (copy 'C' attached)

5. **Certification of Grant Claims and Returns – Annual Report**

The Committee will receive the External Auditor's Annual Report summarising the findings from the certification of 2011/12 grant claims and returns, outlining the significant findings and messages arising from the assessment of the Council's arrangements for preparing grant claims and returns and on information on claims that have been amended or qualified (copy 'D' attached)

6. Annual Governance Review 2012/13

The Assistant Executive Director (Finance) (Audit and Risk Management) will submit a report outlining the detailed guidance that has been issued to Senior Management within the Authority to review the Council's Governance Arrangements and prepare the statutory Annual Governance Statement (AGS) for 2012/13 copy 'E' attached)

7. Local Code of Corporate Governance

The Assistant Executive Director (Finance) (Audit and Risk Management) will submit a report presenting the Local Code of Corporate Governance for consideration as part of the Authority's Corporate Governance Framework and Annual Governance Review (AGS) process (copy 'F' attached)

8. Internal Audit Charter and Strategy – Revised March 2013

The Assistant Executive Director (Finance) (Audit and Risk Management) will submit a report prefacing the annually reviewed and revised Internal Audit Charter and Strategy (copy 'G' attached)

9. Public Sector Internal Audit Standards

The Assistant Executive Director (Finance) (Audit and Risk Management) will submit a report providing information relating to the new Internal Audit professional standards for Internal Audit in the Public Sector which come into effect on the 1st April, 2013 and detailing the Committee's responsibilities within its Terms of Reference (copy 'H' attached)

10. Strategic Risk Register – Update March 2013

The Assistant Executive Director (Finance) (Audit and Risk Management) will submit a report highlighting the most significant of the proposed changes to the Authority's Strategic Risk Register (copy 'I' attached)

11. Risk Management Policy Objective Statement

The Assistant Executive Director (Finance) (Audit and Risk Management) will submit a report on the draft revised Risk Management Policy Objective Statement prior to its submission to Cabinet for approval (copy 'J' attached)

12. Treasury Policy and Strategy Statements 2013/14

The Acting Assistant Chief Executive Finance, Property and Information Services will submit a report presenting the 2013/14 Treasury Policy and Strategy Statements (including the Annual Investment Strategy) for information and comment which were

approved by the Council on the 28th February, 2013 (copy 'K' attached)

Items for information

13. Review of Taxi Licensing in Barnsley

The Executive Director Development, Environment and Culture will submit a report which was approved by the Council on the 28th February, 2013 on the outcome of the fundamental review of Hackney Carriage and Private Hire Services provided by the Council and on the series of recommendations that had been adopted to ensure high quality and cost effective services to the taxi trade and the general public in Barnsley (copy 'L' attached)

14. Local Government Act 2003, Section 25 Report on the 2013/14 Budget Proposals

The Acting Assistant Chief Executive Finance, Property and Information Services will submit her Section 25 report providing advice on the robustness of the estimates included within the 2013/14 budget and on the adequacy of the Reserves that the budget will provide (Copy 'M' attached)

15. Corporate Finance and Performance Management Position for the Month Ended 31st December, 2012 and Capital Programme 2012/13-2014/15 Update

The Acting Assistant Chief Executive Finance, Property and Information services will submit a report summarising the position of the Corporate Finance and Performance Management position and the Authority's Capital Programme for the three year period 2012/13-2014/15, as at 31st December, 2012 (copy 'N' attached)

16. Audit Committee Work Plan 2012/13

The Assistant Executive Director (Finance) (Audit and Risk Management) will submit the indicative Audit Committee Workplan for 2012/13 (copy 'O' attached).

17. Exclusion of the Public and Press

To consider if the public and press should be excluded from this meeting during consideration of the following item because of the likely disclosure of exempt information.

***18. Internal Audit Plan 2013/14**

The Assistant Executive Director (Finance) (Audit and Risk Management) will submit a report presenting the indicative Internal

Audit Plan for the financial (audit) year 2013/14, summarising the audit activity across the Authority together with an analysis by audit type and describing the rationale and process for setting the plan, the risk assessment process used and how Internal Audit resources are calculated and deployed (Copy 'P' attached)

* It is likely that the public and press will be excluded from this meeting during consideration of the item so marked because of the likely disclosure of exempt information as defined by paragraph 7 of Part I of Schedule 12A of the Local Government Act 1972 as amended, subject to the public interest test, as follows:-

Note:

(i) Public Interest Test – A report may only be exempt from publication if, in all the circumstances of the case, the public interest in maintaining confidentiality outweighs the public interest in disclosing the information;

(ii) The category of exempt information referred to above is described as follows:

Paragraph 7 – Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of a crime.

To: Chairman and Members of the Audit Committee:-

Councillors Hayward (Chairman), Barnard, D. Green and Key; together with Co-opted Members Ms D Brown and Messrs. Ellis, Johnston, Lunn and Marks

Chief Executive

Assistant Chief Executive (Legal and Governance)

Acting Assistant Chief Executive Finance, Property and Information Services

R. Winter - Assistant Director of Finance (Audit & Risk Management)

S. Jones – Performance & Partnerships

N. Copley – Acting Assistant Director (Finance) (Financial and Business Support Services

R. Chambers, KPMG

J. Winham - Audit

D. Mills - Audit

D. Murray, KPMG

Council Governance Unit – x 3

Enquiries relating to this agenda, please contact William Ward - 773451 e-mail williamward@barnsley.gov.uk

18th March, 2013